

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>Application Number</b>	09/817008	<b>Docket Number</b>	BAN.0107
<b>Filed</b>	3/23/2001	<b>Group Art Unit</b>	2152
<b>Examiner</b>	CHAD ZHONGCHAD ZHONG	<b>Customer No.</b>	23669
<b>Application Title</b>	TRANSACTION SWITCH AND INFINIBAND HYBRID CHANNEL ADAPTER/SWITCH INCORPORATING SAME		
<b>First Named Inventor</b>	Richard E. Pekkala		

### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop **AMENDMENT**  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Attached hereto is Form PTO-1449, submitted under 37 CFR 1.97(d) and 1.97(e)(2), listing documents believed relevant to the subject application. It is respectfully requested that the examiner review the information disclosed herein in detail, independently evaluate each item carefully in the consideration of the pending claims and return an initialed copy of each form to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made, that no other material information as defined in 37 CFR 1.56(a) exists, or as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b) or is available as a reference under 35 U.S.C. §102 *et seq.* Applicant reserves the right to swear behind or otherwise disprove the allege "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

The enclosed documents may have markings thereon. No significance is intended to be attached to the markings.

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement
- ☒ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Application No. 09/817008 (Docket: BAN.0107)  
Information Disclosure Statement Transmittal dated 5/25/2006

- ☐ Attached hereto is a check for the fee set forth in 37 CFR 1.17(p).  
☐ Fee set forth in 37 CFR 1.17(p) has been submitted herewith

Respectfully submitted,

/E. Alan Davis/

By: \_\_\_\_\_

**E. ALAN DAVIS**

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5/25/2006

Date: \_\_\_\_\_

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/817008
				Filing Date	3/23/2001
				First Named Inventor	Richard E. Pekkala
				Group Art Unit	2152
				Examiner Name	CHAD ZHONG
Sheet	1	of	1	Attorney Docket Number	BAN.0107

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6760307	07-06-2004	Dunning et al.	
		6661773	12-09-2003	Pelissier et al.	
		6594329	07-15-2003	Dean S. Susnow	
		6502156	12-31-2002	Sacker et al.	
		6400730	06-04-2002	Latif et al.	
		6199137	03-06-2001	Aguilar et al.	
		6418201	07-09-2002	Holland et al.	
		6763419	07-13-2004	Hoese et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

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